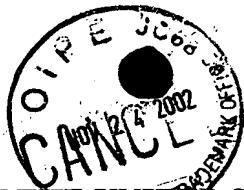
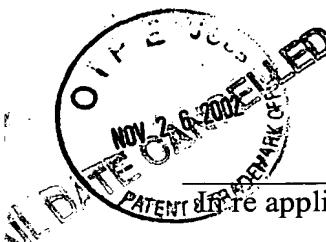


11-28-03

3624

Express Mail No. EV335857959US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



In re application of: Albanese, Bernard J.;
Mindes, Barry M.

Serial No. 09/972,480

Filed: October 5, 2001

For: SYSTEM AND METHOD FOR
PROTECTING POSITIONS IN
VOLATILE MARKETS



Confirmation No. 5199

Art Unit: 3624

Examiner: Kazimi, Hani M.

Attorney Docket: 8085-007-999

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PA
12/14/03

NOVEMBER 24, 2003 AMENDMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

Mail Stop Non Fee Amendment

Dear Sir:

The enclosed Amendment is in response to the Office Action dated September 23, 2003 for the above identified patent application.

No fee is believed due, however, in the event a fee is due, the Commissioner is hereby authorized to charge the required fee(s) to Pennie & Edmonds LLP Deposit Account No. 16-1150. A copy of this sheet is enclosed for such purpose.

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